



#19/RCE
5/29/01
C. McKinney
PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/334,646
Filing Date	June 17, 1999
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2811
Examiner Name	S. Hu
Attorney Docket Number	740756-1984

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**
 - a. Previously submitted
 - i. Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on April 11, 2001.
(Any unentered amendment(s) referred to above will be entered).
 - ii. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 - iii. Other _____
 - b. Enclosed
 - i. Amendment/Reply
 - ii. Affidavit(s)/Declaration(s)
 - iii. Information Disclosure Statement (IDS)
 - iv. Petition for Extension of Time
2. **Miscellaneous**
 - a. Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of three months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)
 - b. Other _____
3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
 - a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-2380.
 - i. RCE fee required under 37 C.F.R. § 1.17(e)
 - ii. Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
 - iii. Other _____
 - b. Check in the amount of \$1,918.00 enclosed
 - c. Payment by credit card (Form PTO-2038 enclosed)

RECEIVED
MAY 17 2001
TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature		Date	May 11, 2001

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	
Signature	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND FEES AND COMPLETED FORMS TO THE FOLLOWING ADDRESS: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

05/14/2001 SDENB031 00000013 09334646

01 FD-179
02 FD-103
03 FD-102 NVA29624.1

710.00 CP
458.00 DP
240.00 P2



PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1,918.00

Complete if Known

Application Number	09/334,646
Filing Date	June 17, 1999
First Named Inventor	Shunpei YAMAZAKI et al.
Examiner Name	S. Hu
Group Art Unit	2811
Attorney Docket No.	740756-1984

RECEIVED
MAY 17 2001
U.S. PATENT AND TRADEMARK OFFICE

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	19-2380
Deposit Account Name	NIXON PEABODY LLP

- Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355	Utility filing fee
106	320	206 160	Design filing fee
107	490	207 245	Plant filing fee
108	710	208 355	Reissue filing fee
114	150	214 75	Provisional filing fee

SUBTOTAL (1) []

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
55	-31** =	26 X \$18	= \$468.00
Independent Claims	7	-4** = 3 X \$80	= \$240.00
Multiple Dependent		\$270	= []

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103	18	203 9	Claims in excess of 20
102	80	202 40	Independent claims in excess of 3
104	270	204 135	Multiple dependent claim, if not paid
109	80	209 40	** Reissue independent claims over original patent

SUBTOTAL (2) [] \$708.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath
127	50	227 25	Surcharge - late provisional filing fee or cover sheet
139	130	139 130	Non-English transaction
147	2,520	147 2,520	For filing a request for ex parte reexamination
112	920*	112 920*	Requesting publication of SIR prior to Examiner action
113	1,840*	1131,840*	Requesting publication of SIR after Examiner action
115	110	215 55	Extension for reply within first month
116	390	216 195	Extension for reply within second month
117	890	217 445	Extension for reply for the third month
118	1,390	218 695	Extension for reply within fourth month
128	1,890	228 945	Extension for reply within fifth month
119	310	219 155	Notice of Appeal
120	310	220 155	Filing a brief in support of an appeal
121	270	221 135	Request for oral hearing
138	1,510	138 1,510	Petition to institute a public use proceeding
140	110	240 55	Petition to revive - unavoidable
141	1,240	241 620	Petition to revive - unintentional
142	1,240	242 620	Utility issue fee (or reissue)
143	440	243 220	Design issue fee
144	600	244 300	Plant issue fee
122	130	122 130	Petitions to the Commissioner
123	50	123 50	Petitions related to provisional applications
126	240	126 240	Submission of information Disclosure Stmt
581	40	581 40	Recording each patent assignment per property (times number of properties)
146	710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249 355	For each additional invention to be examined (37 CFR § 1.29(b))
179	710	249 355	Request for Continued Examination (RCE)
169	900	169 900	Request for expedited examination of a design application
Other fee (specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) [] \$1,210.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone	(703) 790-9110
Signature				Date	May 11, 2001



#20/Am dt F
5/29/01

Docket: 0756-1984

C. McKinney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Shunpei YAMAZAKI et al.)
Serial No. 09/334,646) Art Unit: 2811
Filed: June 17, 1999) Examiner: S. Hu
For: SEMICONDUCTOR DEVICE AND)
FABRICATION METHOD THEREOF) Date: May 11, 2001

RECEIVED
MAY 17 2001
TECHNOLOGY CENTER 2800

PRELIMINARY AMENDMENT

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

Please preliminarily amend the subject application as follows:

IN THE SPECIFICATION/CLAIMS:

Please amend claims 1-3 and 8 and add new claims 76-99 as follows: Please note that claims 1-3 and 8 are presented below in their amended form. They are further presented as an Attachment to the Amendment whereby the amendments to the claims are outlined using the conventional method of bracketing and underlining.

Sub H1 ~~1. (Twice Amended) An active matrix type display device comprising:~~

~~at least two transistors provided on an insulating surface in a buffer circuit in said active matrix type display device;~~

~~a common gate wiring provided over said insulating surface and connected with said at least two transistors at gate electrodes of said at least two transistors;~~